

**Auburn University at Montgomery
Policies and Procedures**

Title: Public Health Service Financial Conflict of Interest Policy

Responsible Office(s): Office of the Provost & Office of the Chancellor

I. PURPOSE:

Auburn University at Montgomery (AUM) is actively committed to ensuring the integrity of research conducted by our investigators, collaborators, consultants, and sub-recipients. AUM research proposed for funding or funded by the Public Health Service (PHS) must be free from bias resulting from Investigator financial conflicts of interest. To promote these principles, AUM requires compliance with the PHS FCOI Regulations which are implemented through this policy and the accompanying AUM PHS Procedures.

II. POLICY:

The Public Health Service (PHS) requires institutions applying for or receiving PHS research funding by means of a grant or cooperative agreement or contract to be in compliance with the following regulation: “Responsibility of Applicants for Promoting Objectivity in Research for which Public Health Service Funding is Sought and Responsible Prospective Contractors.” 42 CFR Part 50, Subpart F and 45 CFR Part 94. This regulation is commonly referred to as the “FCOI Regulations.” The purpose of the FCOI Regulations is to promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct, and reporting of research performed under PHS grants, cooperative agreements or contracts will be free from bias resulting from investigator financial conflicts of interest.

III. EFFECTIVE DATE:

April 23, 2021

IV. APPLICABILITY:

University Faculty and others who meet the definition of Investigator in the PHS regulations at 42 CFR Part 50, Subpart F and 45 CFR Part 94.

V. RESPONSIBILITY:

The Office of the Provost and the Office Sponsored Programs and Research have responsibility for implementing this policy.

VI. DEFINITIONS:

See Procedures Document, [“Auburn University at Montgomery Procedures for Public Health Service Financial Conflicts of Interest Regulations”](#)

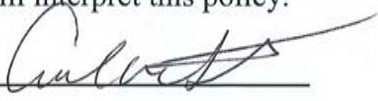
VII. PROCEDURES:

[“Auburn University at Montgomery Procedures for Public Health Service Financial Conflicts of Interest Regulations”](#)

VIII. SANCTIONS:
NA

IX. EXCLUSIONS:
NA

X. INTERPRETATION:
The Office of the Provost will interpret this policy.

APPROVAL TO PROCEED: 

DATE: 4/27/21

APPENDICES: None