

RESEARCH  
ETHICS &  
CITIZEN SCIENCE

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# INTRODUCTION

A FRAMEWORK



## INTRODUCTION: FRAMEWORK

- “Trust the science!”
  - What does this mean for experts?
  - What does this mean for non-experts?
- Research Ethics: focuses on ethical norms or principles that can help to secure trust in scientific inquiry.



## INTRODUCTION: FRAMEWORK

- Research Ethics: focuses on ethical norms or principles that can help to secure trust in scientific inquiry.
  - Human subjects research
  - Non-human animal research
- Broad question: what are the ethical norms or principles that ensure the responsible conduct of research?



## INTRODUCTION: GOALS

- Understand key principles governing human subjects research
- Understand unique ethical challenges for research ethics emerging from citizen science
- Understand the need to develop an ethical framework for trust in citizen science research



## INTRODUCTION: STRUCTURE

- On Research Ethics
- Human Subjects Research and Trust in Science
- Ethical Challenges in Citizen Science
- Research Ethics and Trust in Citizen Science

# ON RESEARCH ETHICS

ESTABLISHING TRUST



## RESEARCH ETHICS: ESTABLISHING TRUST

- Ethical research enables trust by safeguarding structures that serve the epistemic aims of scientific inquiry.
- Ethical research enables trust by safeguarding structures that promote the collaborative nature of scientific inquiry.
- Ethical research enables trust by safeguarding structures for socially responsive and accountable inquiry.



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# HUMAN SUBJECTS RESEARCH

KEY PRINCIPLES AND TRUST

# The New York Times

## Syphilis Victims in U.S. Study Went Untreated for 40 Years

By JEAN HELLER  
The Associated Press

WASHINGTON, July 25—For 40 years the United States Public Health Service has conducted a study in which human beings with syphilis, who were forced to serve as guinea pigs, have gone without medical treatment for the disease. A few have died of its effects, even though an effective therapy was eventually discovered.

The study was conducted to determine from autopsies what the disease does to the human body.

Officials of the health service who initiated the experiment have long since retired. Present officials, who say they

have serious doubts about the morality of the study, also say that it is too late to treat syphilis in any surviving participants.

Doctors in the service say they are now rendering whatever other medical services they can give to the survivors while the study of the disease's effects continues.

Dr. Merlin K. DuVal, Assistant Secretary of Health, Education and Welfare for Human and Scientific Affairs, expressed shock on learning of the study. He said that he was making an immediate investigation.

The experiment, called the Tuskegee Study, began in 1932 with about 600 black

*Public Health Reviews, Vol. 34,*

## Ethical Failures and History Lessons: The U.S. Public Health Service Research Studies in Tuskegee and Guatemala

Susan M. Reverby, PhD<sup>1</sup>

### ABSTRACT

Bioethics is often thought of as having been “born in scandal and raised in protectionism.” Less often acknowledged is that bioethics has been so nourished by dramatic frames that the effort to provide a different form of analysis has been problematic. Using examples of the author’s scholarship on the history and coverage of the United States Public Health Service’s untreated syphilis study in Tuskegee (1932-72) and its sexually transmitted diseases inoculation research study in Guatemala (1946-48), these histories of medical malfeasance, governmental corruption, and the use of racist and imperial power are examined for the limitations of conventional understandings of “bad scientists” and failures to obtain consent. It is argued that these two tragedies, which have provided an explanation for suspicion about medical and public health research, need to be understood in the context of research hubris and institutional power. They remind us of the necessity of vigilance against human rights abuses and the need for researchers to imagine themselves in similar situations.

**Key Words:** Bioethics, Tuskegee, Guatemala, United States Public Health Service, syphilis, sexually transmitted disease, media

**Suggested Citation:** Reverby SM. Ethical failures and history lessons: the U.S. Public Health Service research studies in Tuskegee and Guatemala. *Public Health Reviews*. 2012;34: epub ahead of print.

# HUMAN SUBJECTS RESEARCH AND TRUST

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## HUMAN SUBJECTS RESEARCH AND TRUST

- **The Belmont Report:** respect for persons, beneficence, and justice.
- **Shamoo and Resnick (2015):** scientific validity, social value, informed consent, respect for persons, beneficence, equitable subject selection, protection for vulnerable subjects, independent review



## HUMAN SUBJECTS RESEARCH AND TRUST

Rasmussen (2019 and forthcoming):

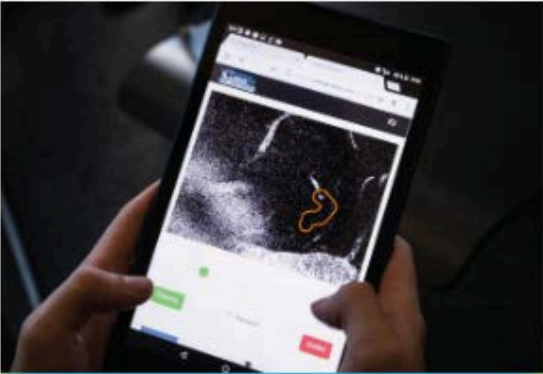





- Federal funding for research
- Connected to academic and medical research institutions
- Regulations to ensure compliance with ethical safeguards



# CITIZEN SCIENCE

## ETHICAL CHALLENGES

# WHAT IS CITIZEN SCIENCE?

		
<p><b>STALL CATCHERS</b></p> <p>Accelerate Alzheimer's research by playing an online game.</p> 	<p><b>ETERNA</b></p> <p>Design RNA molecules to help scientists develop RNA-based medicines.</p> 	<p><b>NEUREKA</b></p> <p>Play games and answer questions to improve mental health and dementia research.</p> 

# WHAT IS CITIZEN SCIENCE?



## GLOBE AT NIGHT

Help gather light pollution data.



## OUTBREAKS NEAR ME

Log on and let us know how you're feeling one or more times per week.



## ISEECHANGE

Document change in weather and climate in your community.

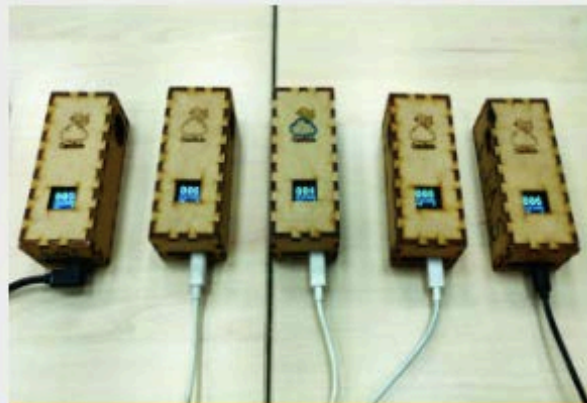


# WHAT IS CITIZEN SCIENCE?



## CROWD THE TAP

Join an investigation of safe drinking water.



## CANAIRIO

Collect air quality data to build an air quality map.



## THE GREAT SUNFLOWER PROJECT

Identify if pollinators are declining to help improve their habitats.



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## WHAT IS CITIZEN SCIENCE?

- Collaboration between professional scientists and the public
  - “In citizen science, laypeople are actively involved in one or more aspects of the research process, including research design, data collection, subject recruitment, data analysis and interpretation, or publication.” (Resnik, Elliot, and Miller 2015)
- “Unregulated” research – outside of institutions and regulatory authorities (Rasmussen 2021 and forthcoming)

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## WHAT IS THE POTENTIAL OF CITIZEN SCIENCE?

- Potential benefits (Resnik, Elliott, and Miller)
  - Makes large studies possible (time, effort, labor)
  - Studies benefit from knowledge derived from proximity and interest of citizens/public in the outcomes of the study
  - Increases the value of studies *for communities*
  - Engagement and educational outreach
  - Democratize research processes

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## SOME UNIQUE ETHICAL CHALLENGES

- Can we trust citizen science as science?
  - Data quality, data sharing, accountability structures (peer review, research misconduct), conflicts of interest
- Can citizen scientists trust traditional scientific practices?
  - Accountability to community, labor, compensation, exploitation, credit, resources, power structures, data ownership, intellectual property

# TRUSTING CITIZEN SCIENCE

AN ETHICAL NEED





## TRUSTING THE SCIENCE

- Can we trust in citizen science as legitimate science worthy of broad trust?
- What if there is broad scale adoption of citizen science prior to the development of sufficient accountability structures?
- Draw back to the earlier work

# QUESTIONS?

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